

03-26-01

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EXPRESS MAIL NO. EJ803686426US

**PATENT APPLICATION TRANSMITTAL**

ATTORNEY'S DOCKET NO.: 41556/04724

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of: George Henry Hoffman  
Edward Arthur GreeneFor: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR RISK  
MANAGEMENT IN A SUPPLY CHAIN MANAGEMENT FRAMEWORK

Enclosed are:

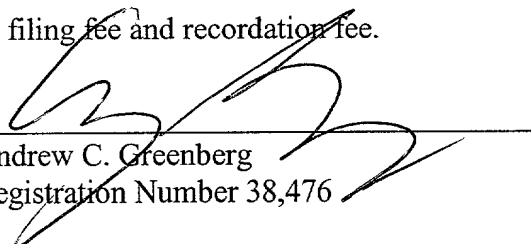
- ☐ Declaration
- ☒ 190 sheets of drawings(s).
- ☐ Power of Attorney
- ☐ Assignment of the invention to: \_\_\_\_\_
- ☒ Applicant claims Small Entity Status under 37 CFR 1.27.
- ☐ Certified copy of a \_\_\_\_\_ application.
- ☐ Information Disclosure Citation and patent copies.
- ☐ Associate Power of Attorney.

**CLAIMS AS FILED**

	<b>TOTAL CLAIMS FILED</b>	<b># EXTRA CLAIMS</b>	<b>FEE</b>
BASIC FEE			\$ 355.00
TOTAL CLAIMS	20	0 @ \$9.00	\$ 0.00
INDEPENDENT CLAIMS	3	0 @ \$ 40.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS	0 @ \$ 135.00	--	\$ 0.00
TOTAL FILING FEE			\$ 355.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment to Deposit Account No. 03-0683. A duplicate of this sheet is enclosed.
- ☒ A check in the amount of \$ 355.00 to cover the filing fee and recordation fee.

DATED: March 23, 2001

  
 Andrew C. Greenberg  
 Registration Number 38,476

# CARLTON FIELDS

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March 23, 2001

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**VIA EJ803686426US**

Re: Utility Patent Application – Small Entity Status  
Our File No.: 41556/04724 (RSI1P070)  
Invention: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT  
FOR RISK MANAGEMENT IN A SUPPLY CHAIN  
MANAGEMENT FRAMEWORK  
Inventor(s): George Henry Hoffman  
Edward Arthur Greene

Dear Sir:

We are enclosing for filing in the United States Patent and Trademark Office the above-referenced patent application. The inventors have assigned their rights to Restaurant Services, Inc. ("RSI"). This application is being filed informally on behalf of RSI without declaration, power of attorney or assignments, receipt of which we are awaiting from the inventors and assignee. The application as filed does include the required specification, claims and drawings necessary to obtain a serial number and filing date, pursuant to 37 CFR Section 1.53(b). The applicants claim Small Entity Status. The necessary declaration, assignment, power of attorney, and formal drawings will be filed subsequently, pursuant to 37 CFR Section 1.53(f).

The undersigned is submitting herewith the following as attorney for assignee RSI, and as agent therefor:

1. Patent Application Transmittal.
2. Application for United States Letters Patent (specification and claims).
3. 190 sheets of drawing(s).
4. Our check in the amount of \$ 355.00 to cover the filing fees.
5. Self-addressed postal card.

We request that you return the self-addressed postal card to this office indicating the filing date and serial number of this application.

CARLTON FIELDS

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